



Department of Defense

DIRECTIVE

March 22, 1995
NUMBER 5111.5

DA&M

SUBJECT : Assistant Secretary of Defense for International Security Policy
(ASD(ISP))

- References:
- (a) Title 10, United States Code
 - (b) DoD Directive 5111.5, "Assistant Secretary of Defense for Nuclear Security and Counterproliferation (ASD(NS&CP)), " July 6, 1993 (hereby canceled)
 - (c) DoD Directive 5111.6, "Assistant Secretary of Defense for Policy and Plans (ASD(P&P)), " July 6, 1993 (hereby canceled)
 - (d) DoD Directive 5105.51, "Defense Technology Security Administration, " May 10, 1985
 - (e) DoD 5025.1 -M, "DoD Directives System Procedures," December 1990, authorized by DoD Directive 5025.1, June 24, 1994
 - (f) DoD Directive 8910.1, "Management and Control of Information Requirements," June 11, 1993

A. REISSUANCE AND PURPOSE

Under the authority vested in the Secretary of Defense by Sections 113 and 138 of reference (a), this Directive reissues reference (b) to update the responsibilities, functions, relationships, and authorities of the ASD(ISP), as prescribed herein, and replaces reference (c).

B. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense (OSD), the Military Departments, the Chairman of the Joint Chiefs of Staff, the Unified Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, and the DoD Field Activities (hereafter referred to collectively as "the DoD Components").

c. RESPONSIBILITIES AND FUNCTIONS

The Assistant Secretary of Defense for International Security Policy is the principal staff assistant and advisor to the Under Secretary of Defense for Policy (USD(P)) and the Secretary of Defense on reducing and countering nuclear, biological, chemical, and missile threats to the United States and its forces and allies; arms control negotiations, implementation, and verification policy; denuclearization, threat reduction, and nuclear safety, security, and dismantlement in the states of the former Soviet Union; **counterproliferation**; policy and strategy for U.S. nuclear weapons, and selected advanced conventional weapons; and technology transfer. In addition, the ASD(ISP) formulates and coordinates security strategy and policy and political-military policy on issues of DoD interest that relate to foreign governments and their defense

establishments in Russia, Ukraine, and the other states of the former Soviet Union (not including the Baltic States). In this capacity, the ASD(ISP) shall:

1. Develop, coordinate, and oversee the implementation of:
 - a. Policy related to arms control negotiations, implementations, and verification, including cooperative threat reduction and safety, security, and dismantlement, in the states of the former Soviet Union.
 - b. Policy for denuclearization in the states of the former Soviet Union.
 - c. Policy related to nuclear forces and strategy.
 - d. Policy related to U.S. Government **counterproliferation** activities.
 - e. Policy related to U.S. nuclear offensive and defensive forces, including the structure, requirements, and posture of strategic forces, strategic reserve forces, theater nuclear forces, and warning systems; surety, reliability, safety, and security of nuclear forces; and strategic and theater missile defense.
2. Provide policy guidance to the Director of the Ballistic Missile Defense Organization.
3. Develop DoD policy positions and recommendations regarding nonproliferation and arms control policy for nuclear, chemical, biological, missile, advanced conventional weapons, and international technology transfers. Additionally, develop DoD policy positions and recommendations regarding arms control and disarmament negotiations, to include multilateral negotiations within these areas.
4. Provide policy guidance for strategic development of new defense technology through the stage of technology demonstration.
5. Provide policy guidance to the Assistant Secretary of Defense for Command, Control, Communications, and Intelligence concerning intelligence tasking, collection, and analysis in areas of assigned responsibility. Integrate proliferation intelligence information, proliferation threat assessments, and proliferation-related information received from other sources into the **USD(P)** policy formulation process.
6. Manage and provide policy guidance to the Defense Technology Security Administration; and develop, coordinate, and oversee the implementation of DoD policy for international technology transfers.
7. Promote coordination, cooperation, and joint planning on nuclear armaments and strategy with North Atlantic Treaty Organization (NATO) allies, including responsibilities regarding the NATO Nuclear Planning Group and the High Level Group.
8. Participate in those planning, programming, and budgeting activities that relate to assigned areas of responsibility.

9. Represent the USD(P) and the Secretary of Defense in interagency deliberations and international negotiations dealing with assigned areas of responsibility.

10. Develop, coordinate, and oversee the implementation of a comprehensive strategy toward Russia, Ukraine, and other newly independent states of Eurasia; develop and oversee DoD security policy and military relations with the former Soviet republics (other than the Baltic States); develop DoD positions and recommendations, and coordinate policy matters concerning security assistance, defense conversion, and military-to-military contacts pertaining to these states; and oversee DoD activities to that end. Develop plans and policy intended to help these states consolidate democracy, reform their economic systems, and establish smaller, defensively oriented, democratically accountable armed forces.

11. Perform such other functions as the USD(P) or the Secretary of Defense may prescribe.

D. RELATIONSHIPS

1. In the performance of assigned functions and responsibilities, the ASD(ISP) shall serve under the authority, direction, and control of the USD(P), and shall:

a. Report directly to the USD(P).

b. Exercise authority, direction, and control over the Director, Defense Technology Security Administration, established by DoD Directive 5105.51 (reference (d)).

c. Coordinate and **exchange** information with OSD officials, heads of the DoD Components, and Federal **officials** having collateral or related functions.

d. Use existing facilities and services of the Department of Defense and other Federal Agencies, when practicable, to avoid duplication and to achieve maximum efficiency and economy.

2. Other OSD officials and heads of the DoD Components shall coordinate with the ASD(ISP) on all matters related to the responsibilities and functions cited in section C., above.

E. AUTHORITIES

The ASD(ISP) is hereby delegated authority to:

1. Issue DoD Instructions, DoD Publications, and one-time directive-type memoranda, consistent with DoD 5025. 1-M (reference (e)), that implement **policy** approved by the Secretary of Defense in assigned fields of responsibility. Instructions to the Military Departments shall be issued through the Secretaries of those Departments. Instructions to Unified Combatant Commands shall be communicated through the Chairman of the Joint Chiefs of Staff.

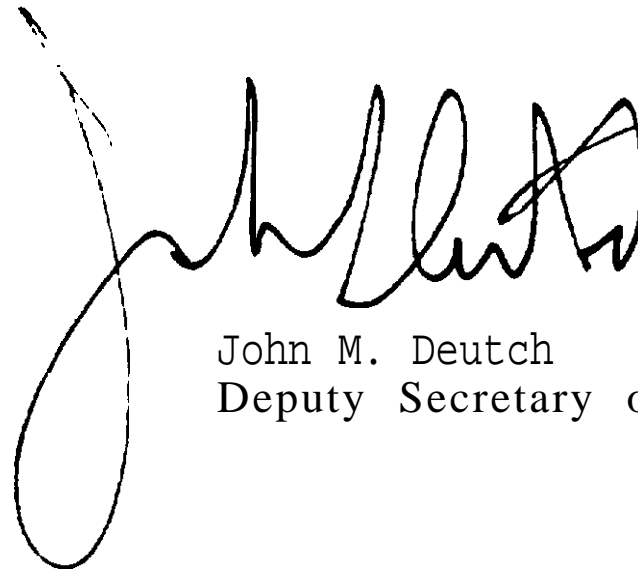
2. Obtain reports, information, advice, and assistance, consistent with DoD Directive 8910.1 (reference (f)), as necessary to carry out assigned functions.

3. Communicate directly with the heads of the DoD Components. Communications to the Commanders of Unified Combatant Commands shall be transmitted through the Chairman of the Joint Chiefs of Staff.

4. Communicate with other Government officials, representatives of the legislative branch, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned functions.

F. EFFECTIVE DATE

This Directive is effective immediately.

A handwritten signature in black ink, appearing to read 'John M. Deutch', with a large, stylized initial 'J'.

John M. Deutch
Deputy Secretary of Defense